

As a below named inventor, I declare that:

My residence, Post Office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **Method And Feedstock For Making Photomask Material**.

The specification of which (check only one item below):

- ☐ is attached hereto
- ☒ was filed as United States Application Serial No. 09/920,257 on August 1, 2001
and was amended on (if applicable)
- ☐ was filed as PCT international application number , on , and was
amended under PCT Article 19 on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, on the same subject matter, having a filing date before that of the application on which priority is claimed:

<input type="checkbox"/>	Country:	Application No.:	Filing Date:
<input type="checkbox"/>	NONE		

I hereby claim the benefit under Title 35 United States Code § 119(e) and § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37 Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<input type="checkbox"/>	Provisional No.:	Filed:	Status:
<input type="checkbox"/>	Application No.:	Filed:	Status:
<input type="checkbox"/>	PCT Application No:	Filed:	Status:

DECLARATION IN ORIGINAL APPLICATION

U.S. Attorney D cket No.: SP01-192

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: Laura J. Ball**Resident Address:** 27 rue de le Courde, 77590 Fontaine le Port, France
Post Office Address: same**Citizenship:**DATE: Sept. 26, 2001
Laura J. Ball**Full Name of Inventor:** Sylvain Rakotoarison**Resident Address:** A244-27 avenue du General De Gaulle, 77210 AVON
Post Office Address: same**Citizenship:** FrancaisDATE: Sept 26, 2001
Sylvain Rakotoarison



SP01-192

APRIL 15, 2002

CORNING INCORPORATED
TIMOTHY M. SCHAEBERLE
PATENT DEPARTMENT
SP-TI-03-1
CORNING, NY 14831

FOOTNOTAS

APR 22 2002

Patent Office

Chief Information Officer
Washington, DC 20231
www.uspto.gov



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/05/2002

REEL/FRAME: 012583/0964

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BALL, LAURA J.

DOC DATE: 09/26/2001

ASSIGNOR:

RAKOTOARISON, SYLVAIN

DOC DATE: 09/26/2001

ASSIGNEE:

CORNING INCORPORATED
PATENT DEPT.; SP-TI-03-1
CORNING, NEW YORK 14831

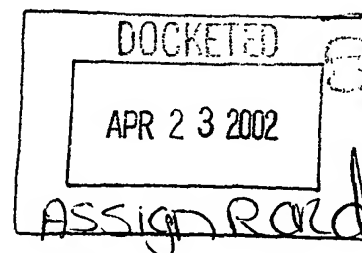
SERIAL NUMBER: 09920257

FILING DATE: 08/01/2001

PATENT NUMBER:

ISSUE DATE:

DIANE RUSSELE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS




**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Siwen Chen is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Corning Incorporated to prepare and prosecute patent applications in which (i) Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; (ii) a wholly-owned subsidiary of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; or (iii) a joint venture of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Siwen Chen ceases to lawfully reside in the United States, (ii) Siwen Chen's employment with Corning Incorporated ceases or is terminated, or (iii) Siwen Chen ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: February 20, 2004



Harry I. Moatz
Director of Enrollment and Discipline

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ball et al.

Serial No.: TBA

Filed: HEREWITH

For: METHOD AND FEEDSTOCK FOR
MAKING PHOTOMASK MATERIAL

**COMBINED
CERTIFICATE
UNDER 37 CFR 3.73(b)
and
POWER OF ATTORNEY**

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 CFR 3.73(b)

CORNING INCORPORATED, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

POWER OF ATTORNEY BY ASSIGNEE

CORNING INCORPORATED, the assignee of the full and exclusive right, title and interest in and to the accompanying application for United States Letters Patent entitled METHOD AND FEEDSTOCK FOR MAKING PHOTOMASK MATERIAL and executed by **Laura J. Ball and Sylvain Rakotoarison** both on **September 26, 2001**, appoints the following agents/attorneys to prosecute that application and to transact all business in the Patent and Trademark Office connected therewith:


<u>Attorney</u>	<u>Registration No.</u>
Siwen Chen	See Attached Recognition
Customer Number 22928	

Direct all telephone calls and correspondence to:

Siwen Chen
Corning Incorporated
SP-TI-03
Corning, NY 14831
(607) 248-1253

CORNING INCORPORATED

Date: 8/20/03

By 
Mark W. Lauroesch
Assistant Secretary

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **Method And Feedstock For Making Photomask Material**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE September 26, 2001

DATE September 26, 2001

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed this

Sept. 26, 2001
Date

Laura J. Ball
Laura J. Ball

Sep 26, 2001
Date

Sylvain Rakotoarison
Sylvain Rakotoarison

On the 26 day of Sept 2001, before me personally came **Laura J. Ball** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

J. Mouffron
Witness

MOUFFRON, Jean
33 Rte de Griselles
45210 Ferrieres France
Printed Name & Address of Witness

On the 26 day of Sept 2001, before me personally came **Sylvain Rakotoarison** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

J. Mouffron
Witness

MOUFFRON, Jean
33 Rte de Griselles
45210 Ferrieres France
Printed Name & Address of Witness